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## NOTICE OF ALLOWANCE AND FEE(S) DUE

4743

7590

08/31/2009

MARSHALL, GERSTEIN & BORUN LLP 233 SOUTH WACKER DRIVE 6300 SEARS TOWER CHICAGO, IL 60606-6357

EXAMINER				
NGUYEN, VU ANH				
ART UNIT	PAPER NUMBER			
1706				

DATE MAILED: 08/31/2009

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/586.203	07/18/2006	Thomas Daniel	29827/42183	4892

TITLE OF INVENTION: METHOD FOR PRODUCING POLYMERS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1510	\$300	\$0	\$1810	11/30/2009

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE DOES NOT REFLECT A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE IN THIS APPLICATION. IF AN ISSUE FEE HAS PREVIOUSLY BEEN PAID IN THIS APPLICATION (AS SHOWN ABOVE), THE RETURN OF PART B OF THIS FORM WILL BE CONSIDERED A REQUEST TO REAPPLY THE PREVIOUSLY PAID ISSUE FEE TOWARD THE ISSUE FEE NOW DUE.

#### HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL, or its equivalent, must be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted. If an equivalent of Part B is filed, a request to reapply a previously paid issue fee must be clearly made, and delays in processing may occur due to the difficulty in recognizing the paper as an equivalent of Part B.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

#### PART B - FEE(S) TRANSMITTAL

### Complete and send this form, together with applicable fee(s), to: Mail Mail Stop ISSUE FEE

Commissioner for Patents P.O. Box 1450

Alexandria, Virginia 22313-1450 (571)-273-2885 or <u>Fax</u>

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications. Note: A certificate of mailing can only be used for domestic mailings of the CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address) Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission. 4743 7590 08/31/2009 Certificate of Mailing or Transmission MARSHALL, GERSTEIN & BORUN LLP I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below. 233 SOUTH WACKER DRIVE 6300 SEARS TOWER CHICAGO, IL 60606-6357 (Depositor's name (Signature (Date APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. FILING DATE 10/586,203 07/18/2006 Thomas Daniel 29827/42183 4892 TITLE OF INVENTION: METHOD FOR PRODUCING POLYMERS APPLN. TYPE SMALL ENTITY ISSUE FEE DUE PUBLICATION FEE DUE PREV. PAID ISSUE FEE TOTAL FEE(S) DUE DATE DUE nonprovisional NO \$1510 \$300 \$0 \$1810 11/30/2009 **EXAMINER** ART UNIT CLASS-SUBCLASS NGUYEN, VU ANH 1796 526-317100 1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363). 2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached. or agents OR, alternatively, (2) the name of a single firm (having as a member a ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required. registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed. 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type) PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment. (A) NAME OF ASSIGNEE (B) RESIDENCE: (CITY and STATE OR COUNTRY) 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above) 4a. The following fee(s) are submitted: lssue Fee A check is enclosed. Publication Fee (No small entity discount permitted) Payment by credit card. Form PTO-2038 is attached. The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_\_ (enclose an extra copy of this fo Advance Order - # of Copies \_ (enclose an extra copy of this form). 5. Change in Entity Status (from status indicated above) a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ■ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2). NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office. Authorized Signature Date Typed or printed name Registration No.

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/586,203	07/18/2006	Thomas Daniel	29827/42183	4892
4743 75	590 08/31/2009		EXAM	INER
MARSHALL, G	ERSTEIN & BORUI	NGUYEN,	VU ANH	
233 SOUTH WAC			ART UNIT	PAPER NUMBER
6300 SEARS TOV CHICAGO, IL 600			1796 DATE MAILED: 08/31/200	0

## **Determination of Patent Term Adjustment under 35 U.S.C. 154 (b)**

(application filed on or after May 29, 2000)

The Patent Term Adjustment to date is 257 day(s). If the issue fee is paid on the date that is three months after the mailing date of this notice and the patent issues on the Tuesday before the date that is 28 weeks (six and a half months) after the mailing date of this notice, the Patent Term Adjustment will be 257 day(s).

If a Continued Prosecution Application (CPA) was filed in the above-identified application, the filing date that determines Patent Term Adjustment is the filing date of the most recent CPA.

Applicant will be able to obtain more detailed information by accessing the Patent Application Information Retrieval (PAIR) WEB site (http://pair.uspto.gov).

Any questions regarding the Patent Term Extension or Adjustment determination should be directed to the Office of Patent Legal Administration at (571)-272-7702. Questions relating to issue and publication fee payments should be directed to the Customer Service Center of the Office of Patent Publication at 1-(888)-786-0101 (571)-272-4200.

	Application No.	Applicant(s)	
	10/586,203	DANIEL ET AL.	
Notice of Allowability	Examiner	Art Unit	
	Vu Nguyen	1796	
The MAILING DATE of this communication app. All claims being allowable, PROSECUTION ON THE MERITS I herewith (or previously mailed), a Notice of Allowance (PTOL-8: NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT of the Office or upon petition by the applicant. See 37 CFR 1.3:	pears on the cover sheet S (OR REMAINS) CLOSEI 5) or other appropriate com RIGHTS. This application 13 and MPEP 1308.	with the correspondence address ) in this application. If not included munication will be mailed in due cours	
1. This communication is responsive to RCE filed 08/05/200	<u>09</u> .		
2. ☑ The allowed claim(s) is/are <u>1-15</u> .			
<ul> <li>3.  Acknowledgment is made of a claim for foreign priority <ul> <li>a)  All</li> <li>b)  Some*</li> <li>c)  None of the:</li> </ul> </li> <li>1.  Certified copies of the priority documents ha</li> <li>2.  Certified copies of the priority documents ha</li> <li>3.  Copies of the certified copies of the priority of International Bureau (PCT Rule 17.2(a)).</li> <li>* Certified copies not received:</li> </ul> <li>Applicant has THREE MONTHS FROM THE "MAILING DATE noted below. Failure to timely comply will result in ABANDON</li>	ve been received.  ve been received in Application  documents have been received.	ition No ved in this national stage application fr	
<ul> <li>THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.</li> <li>4. A SUBSTITUTE OATH OR DECLARATION must be sub INFORMAL PATENT APPLICATION (PTO-152) which gi</li> <li>5. CORRECTED DRAWINGS (as "replacement sheets") m</li> </ul>	ives reason(s) why the oath		E OF
(a) ☐ including changes required by the Notice of Draftspe		iew ( PTO-948) attached	
1) hereto or 2) to Paper No./Mail Date	-	,	
(b) including changes required by the attached Examine Paper No./Mail Date  Identifying indicia such as the application number (see 37 CFR each sheet. Replacement sheet(s) should be labeled as such in	1.84(c)) should be written o	n the drawings in the front (not the back	) of
DEPOSIT OF and/or INFORMATION about the department attached Examiner's comment regarding REQUIREMEN	oosit of BIOLOGICAL MA	TERIAL must be submitted. Note t	he
<ul> <li>Attachment(s)</li> <li>1. ☑ Notice of References Cited (PTO-892)</li> <li>2. ☐ Notice of Draftperson's Patent Drawing Review (PTO-948)</li> <li>3. ☐ Information Disclosure Statements (PTO/SB/08), Paper No./Mail Date</li> <li>4. ☐ Examiner's Comment Regarding Requirement for Deposit of Biological Material</li> </ul>	) 6. ☐ Interview Paper N 7. ☐ Examine	Informal Patent Application Summary (PTO-413), o./Mail Date ''s Amendment/Comment ''s Statement of Reasons for Allowand	e
/Vu Nguyen/	/David Wu/		
Examiner, Art Unit 1796	Supervisory I	Patent Examiner, Art Unit 1796	

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## **DETAILED ACTION**

#### Continued Examination Under 37 CFR 1.114

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 08/05/2009 has been entered.

## Response to Amendment

2. The Declaration under 37 CFR 1.132 filed 08/05/2009 is sufficient to overcome the rejection of claims 1-4, 6, 9-12 and 14-15 based upon 35 U.S.C. 102(b) as being anticipated by Shimomura et al. (US 5,210,298) and the rejection of claims 5, 7, 8 and 13 under 35 U.S.C. 103(a) as being obvious over Shimomura et al. (US 5,210,298) in view of Cooke (GB-1073856).

# Allowable Subject Matter

- 3. Claims 1-15 are allowed.
- 4. The following is an examiner's statement of reasons for allowance: The present claims are allowable over the closest prior art references of record: Shimomura et al.

(US 5,210,298), Daniel et al. (WO/2004/013196; English equivalent US 2005/0245713 is being relied upon), and Rust (US 3,615,627).

The present invention is drawn to a method of preparing a polymer comprising (meth)acrylate salt units by a free-radical polymerization of a supersaturated aqueous solution comprising a (meth)acrylate salt and optionally a second monomer.

Shimomura et al. discloses a method of preparing a copolymer comprising sodium acrylate and acrylic acid. The method is similar to the claimed method except that the reference is silent as to whether the monomer solution is supersaturated. The applicant has provided evidence, via a declaration under 37 CFR 1.132, showing that the prior art monomer solution is far from being supersaturated.

Daniel et al. discloses a method similar to the claimed method. However, the monomer undergoing polymerization is either in the form of a normal aqueous solution or an aqueous dispersion. No suggestion is made of a supersaturated monomer solution.

Rust discloses a polymerizable composition comprising a supersaturated aqueous solution of an acrylate salt. However, direct polymerization of said monomer solution is not taught.

Of the three references, the former two are in the same field of endeavor with the claimed invention. However, there are no obvious reasons that would motivate one of ordinary skill in the art to modify the method of the prior art to arrive at the claimed method.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

### **Contact Information**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Vu Nguyen whose telephone number is (571)270-5454. The examiner can normally be reached on M-F 7:30-5:00 (Alternating Friday Off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wu can be reached on 571-272-1114. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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Art Unit: 1796

Examiner Art Unit 1796

/David Wu/

Supervisory Patent Examiner, Art Unit 1796